

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Michael Sweeting  
Serial No. 10/689,185  
Filing Date: October 20, 2003  
Confirmation No.: 2702  
Art Unit: 3694  
Examiner: Abdul Basit  
Title: SYSTEM AND METHOD FOR PROVIDING  
FUTURES CONTRACTS IN A FINANCIAL  
MARKET ENVIRONMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
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**AMENDMENT/SUBMISSION**

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 10 of this paper.